



Insurance Internal Audit Group

Venue

Deloitte, 2 New Street Square,
London EC4A 3TR

Programme Outline

09:30	Registration
09:50	Welcome from the iiag Chair
10:00	Conduct Risk
10:45	Data security controls
11:30	Coffee
11:50	Auditing in the boardroom
12:40	Open forum and iiag developments
13:15	Lunch

Speaker profiles

Katie Clinton, Head of Insurance Internal Audit, KPMG

Katie leads KPMG's Internal Audit function for the insurance sector in the UK. She delivers Internal Audit outsourced and co-sourced services, effectiveness reviews, gap analyses and other advisory services to a range of clients across the sector. Katie is a Chartered Accountant by training and a UMIST Management Science graduate.

Lindsay Hamilton, CEO, Cervello

Lindsay is CEO of database security company Cervello Consultants which puts independent controls in place for management and board directors to alert and report on potentially fraudulent or malicious activity taking place, or security vulnerabilities being created, on their key, sensitive data. Lindsay is also a Business Consultant to IT recruitment company Bright Purple Resourcing, plus a mentor with business incubator start up company Entrepreneurial Spark.

Graham Ayre, Internal Audit Manager, Ageas UK Limited

Graham has spent his entire career of over 30 years as an auditor. He currently works as a Manager within the Internal Audit Department of Ageas in the UK where his primary responsibility is the management of the insurance companies within the Ageas Group in the UK. Prior to joining Ageas, Graham headed up the Internal Audit function of the Lincoln Financial Group companies in the UK. He began his career as an external auditor with EY, working in both the UK and overseas. Graham is a qualified chartered accountant. Apart from his day job, Graham is a member of the ICAEW Technical Advisory Committee for the West of England and has presented at a number of conferences on internal audit and regulatory issues.

iiag Programme Friday 7th March 2014

■ Conduct Risk

Katie Clinton, Head of Insurance Internal Audit, Conduct Risk specialist, KPMG

Over recent years, focus from the regulator and within the sector on customer outcomes has intensified, with a growing requirement for firms to define and manage Conduct Risk explicitly as part of their risk management framework. Conduct risk is therefore high on Internal Audit's agenda. Katie will provide an update on Conduct Risk requirements and expected developments; their insight based on experience across the sector and dialogue with the FCA and, an assessment on the implications for Internal Audit.

■ Data security controls - The boardroom case for effective independent assurance

Lindsay Hamilton, CEO, Cervello

As the regulators put data security and cybercrime risks on their top agenda, this session poses some provocative questions from the board's perspective. With the advent of technology that affects every aspect of our business including cloud computing, mobile working, social media and file transfers, how do we know what is happening out there?

How do we assess the threat of cybercrime and insider threat? Where do we look first? Are regulation and standards keeping up with the evolution of controls required to manage the new threats in our industry? The subject is clearly wide and Lindsay will help us navigate this increasingly rough terrain of data security by discussing the first principles of securing your data and independent assurance.

■ A practitioner's view of auditing in the boardroom

Graham Ayre, Internal Audit Manager, Ageas UK Limited

A number of the recent corporate failures have arisen from activity (or inactivity) and the decisions made by the most senior management within the respective organisations. However, the operation of the highest level governance functions within companies does not often feature within the internal audit plan. This presentation will aim to give a practitioner's insight into how such functions could be audited.

■ Open forum and iiag development updates

Vicky Kubitscheck, iiag Chairman

There are some exciting new developments at the iiag to tell you about and Vicky is going to share all the latest news with you!

iiag NOTICE BOARD

Dates for your diary in 2014:

Our next regular meetings are planned for 6 June and 5 December. We will be holding a conference in early October (provisionally 9th / 10th October) in place of the regular September meeting.

Thank you all for continuing to send us your feedback and topics for future events and your on-going support. We look forward to seeing you at our next event.

For queries about the iiag and its activities, contact any member of the iiag Committee at Contact@iiag.org.uk or visit our website.

Vicky Kubitscheck, Chair

Neal Writer, Committee Member

Pauline McNulty, Committee Member

Graham Ayre, Financial Oversight

Adrian Herbert, Committee Member

Fraser White, Secretary

Andrew Gascoyne, Committee Member

How to Register

Online at:

www.iiag.org.uk

Or :Email: bookings@iiag.org.uk

Enquiries Email: Contactus@iiag.org.uk

REGISTRATION MUST BE RECEIVED BY NO LATER THAN FRIDAY 28 February



Delegate Rates

NB: We are waiving membership fees this period. Based on your payment method, fees per person are payable in advance, as follows:

Please state your method of payment:

£ 75.00 if paid by direct transfer to: Insurance Internal Audit Group account at Barclays Bank
Sort Code: 20-68-79 Account No: 20842028
Reference: <Your company name> and re **March 2014** meeting

£ 80.00 if paid by cheque. Make your cheque payable to the **Insurance Internal Audit Group and post to:**

Theresa Brooks, iiag, 1 Glebe Road, Burton Latimer, Northants NN15 5QU.

Please include a booking form with all cheques.

Please ensure that you have a booking reference number for **ALL** payments

I wish to register ___ delegate(s) for the IIAG Seminar

Contact Name

Company

Address

Postcode

Telephone

Email

	Name of Delegate(s)	Position	Email	Fee £
1.				
2.				
3.				

I confirm my remittance for £ _____ (If paying by cheque, indicate when you banked the cheque). Payment must be received prior to the event to secure a booking.

The IIAG is not a commercial organisation and attendees are required to make their payments at the time of booking to confirm catering orders and minimise administration. The venue may refuse entry to delegates arriving without prior booking or notification.

Signed _____

Date _____

Refunds of 80% will be made if written notice (including email) is received by the IIAG at least seven days before the event. Beyond that time no refunds are allowed but a nominee is acceptable. We reserve the right to alter published programmes, speakers, fees or venues without prior notice. We also reserve the right to cancel programmes at our discretion in which case our liability shall be restricted to a refund of any fees paid to us.