



# Insurance Internal Audit Group

## Venue

**Deloitte, 2 New Street Square,  
London EC4A 3TR**

## Programme Outline

09:30	Registration
09:50	Welcome from the iiag Chair
10:00	Hot Topics & Planning Priorities for 2018
10:45	Coverholder & Delegated Authority Audits
11:30	Coffee
12:00	Data Governance Framework: What is it, could you already have one and how do you audit it?
12:45	GPDG – Project Update
12:55	Open Forum
13:10	Lunch

## Speaker profiles

### **Matthew Cox – Director, Insurance Internal Audit – Deloitte LLP & Ed Thomas - Senior Manager, Insurance Internal Audit - Deloitte LLP**

Matt is a director with 13 years of experience and is Deloitte's UK lead for insurance in their Financial Services Internal Audit Group. He is a member of Deloitte's UK IA Leadership Team and works with UK and overseas organisations to develop IA practices. Matt leads internal audit services with a number of global and UK-based insurance companies and has worked as a Head of Internal Audit for a European life insurer and the Head of Operations for a global general insurer's internal audit function.

### **Grahame Pipe – BA ACII Chartered Insurer**

Grahame Pipe has over 35 years of experience in the London market, mainly as an underwriter, when he was responsible for a wide range of his syndicate's short tail classes and experience of liability business through writing combined package policies. He has served on numerous LMA committees and is a past chairman of its Delegated Underwriting Committee. In 2004 Grahame established Grahame Pipe Advisory Services, providing specialised services in underwriting analysis and best practice procedures for syndicates and companies. Recent employment includes being the Director of Underwriting for a Lloyd's managing agency.

### **Nousheen Hassan – Director/Senior Consultant - Innovative Risk Audit**

Nousheen is the founder of this consultancy business providing risk and audit services to clients in the Insurance Industry. After attaining an LLB (Hons) degree in Law, Nousheen qualified as a Chartered Internal Auditor with the CIIA and began her auditing career at Deloitte in the Public Sector, then launched into the Financial Services Sector working for AIG in 2008 followed by senior roles at Brit Insurance, Grant Thornton and Lloyd's of London Managing Agents, setting up and managing Internal Audit functions. To diversify her experience, Nousheen transitioned into the Head of Risk role at Neon Underwriting Limited.

## iiag Programme Friday 29 September 2017

### ■ Hot Topics & Planning Priorities for 2018

**Matt Cox** - Director, Insurance Internal Audit - Deloitte LLP,  
**Ed Thomas** - Senior Manager, Insurance Internal Audit - Deloitte LLP

Matt and Ed will provide an overview of planning priorities for 2018. Along with familiar areas of focus around regulatory topics, we see continued evolution of assurance demands from the development of business models. Planning priorities continue to evolve to address the expectations around the areas where internal audit should be providing an assurance opinion including culture, conduct, strategic development and corporate transactions.

### ■ Coverholder & Delegated Authority Audits

#### **Grahame Pipe – Grahame Pipe Advisory Services**

Has your company any third-party delegated authorities? Are you confident that they are operating effectively and meeting regulatory requirements, especially treating the customer fairly? Grahame will share his experience of the good practices and common pitfalls he has observed over these activities as well as highlighting recent market trends and regulatory direction of travel. To support the development of our 3rd line of defence activities he will also share his insight about how the MGA / coverholder audit process is working today, consider whether the Lloyd's approach is ahead of the rest of the market and what he sees the second line of defence doing in this space.

### ■ Data Governance Framework: What is it, could you already have one and how do you audit it?

#### **Nousheen Hassan - Founder of Innovative Risk Audit**

Data governance refers to the management of the availability, usability, integrity, and security of the data in your business and a data governance programme could include a governing body or council, a defined set of procedures, and a plan to execute those procedures. Following the update at the March Seminar on the requirements of the new General Data Protection Regulation (GDPR), Nousheen tackles the next stage of these 'game changing' requirements by explaining how they could be integrated into your own data governance frameworks and provides an insight into the risks and controls to look out for when auditing and to give assurance on compliance with the new regulations by the May 2018 deadline.

### ■ GPDG – Project Update

The Group will present an update on their project work.

## iiag NOTICE BOARD

### Date for your diary : Friday 1 December

Thank you all for your continued support and feedback – a short questionnaire will be circulated to all attendees post event. Please take a few minutes to complete and return it to us as your comments and opinions help us to plan and determine future events.

**Any queries about the iiag and its activities contact please contact the iiag committee members via [administrator@iiag.org.uk](mailto:administrator@iiag.org.uk)**

**Fraser White, Chair, Graham Ayre, Financial Oversight, Andrew Gascoyne, Secretary, Adrian Herbert, Committee Member, Andy Keogan, Committee Member, Julie Woodward, Committee Member, Sia Bush, Committee Member**