



Insurance Internal Audit Group

Venue

Deloitte, 2 New Street Square,
London EC4A 3TR

Programme Outline

09:30	Registration
09:50	Welcome from the iiag Chair
10:00	From prominence to prison. How and why do successful professionals fall from grace?
10:45	Insights from an Audit Committee Chair
11:20	Coffee
11:50	How to audit/issues relating to MI/Data Warehouse platforms
12:35	Agile Auditing for Smaller Audit Teams
12:55	Open Forum

Speaker profiles

Professor Will Harvey

Will Harvey is Professor and Associate Dean at the University of Exeter. Will received a first class BA (Hons) degree from the University of Durham, an MPhil with distinction and a PhD from the University of Cambridge. Will has worked at the University of Oxford, the University of British Columbia, the University of Sydney and the University of Exeter. He has held visiting academic positions at universities in Australia, France, Germany, India, Norway, and the USA. Will teaches and researches on reputation and leadership. He has worked with leaders across the world in a variety of sectors, and is currently the Chair of Trustees of Libraries Unlimited, which runs 50 public libraries across Devon.

Andrew Neden

Andrew is the Chairman of Aetna International, a global Health Insurance company, and is Audit Committee Chair at a number of financial services companies. He has a wealth of experience of both financial services and audit, having been a partner at KPMG for over 18 years.

Ian Singer – PKF Littlejohn

Ian is the Head of IT Assurance at PKF Littlejohn. He has more than 30 years' experience of providing IT assurance and consultancy services to the insurance industry including advice to Insurance Companies, Brokers, Managing Agents and Intermediaries both within Lloyd's and the wider London Market. Ian also designs and implements computerised management information systems including financial ledgers, insurance policy administration systems and business intelligence.

Programme Friday 6 December 2019

■ From prominence to prison. How and why do successful professionals fall from grace?

Professor Will Harvey – University of Exeter

While we know about how professionals can build and manage their reputations, we know less about what might cause them to act unethically and lose their reputations. Drawing on two rounds of interviews and over a dozen focus groups, with 70 inmates, over 12 months at a federal prison in the United States, this presentation explores what led these successful and well-educated professionals to commit mistakes that resulted in reputation damaging events, and eventually personal and professional reputation loss. The presentation will provide practical insights for professionals and organisations on how to mitigate and prevent reputation loss.

■ Insights from an Audit Committee Chair

Andrew Neden – Audit Committee Chair at Wesleyan Assurance Society, ABC International Bank, and Nottingham Building Society

Andrew will provide a commentary drawing from his many years as a Board member and Audit Committee Chair. This session is intended to be highly interactive and plenty of time has been allowed for questions from those attending, so that they can gain insight into how this very important stakeholder perceives Internal Audit and gains assurance and comfort from the work we all perform.

■ How to audit/issues relating to MI/Data Warehouse platforms **Ian Singer** – PKF Littlejohn

Good quality data is fundamental to every insurance business, not only to support financial accounting, regulatory compliance and solvency calculations, but also as the basis of effective decision making and data analysis. Increasingly, reliance is being placed on data warehouses and business intelligence solutions to deliver these requirements but how should Internal Audit address the risks inherent in such systems? Ian Singer will discuss the key issues and provide guidance on how to audit data warehouse environments.

iiag NOTICE BOARD

Dates for your diary in 2020:

- 6 March
- 4 September
- 5 June
- 4 December

Thank you all for your continued support and feedback – a short questionnaire will be circulated to all attendees post event.

Please take a few minutes to complete and return it to us as your comments and opinions help to plan and determine future events.

Any queries about the iiag and its activities please contact the iiag committee members via administrator@iiag.org.uk

- **Fraser White, Chair**
- **Andrew Gascoyne, Secretary**
- **Andrew Keogan, Financial Oversight**
- **Graham Ayre, Committee Member**
- **Sia Bush, Committee Member**
- **Adrian Herbert, Committee Member**
- **Julie Woodward, Committee Member**