



# Insurance Internal Audit Group

## Venue

Deloitte, 2 New Street Square,  
London EC4A 3TR

## Programme Outline

9:30	Registration
09.50	Welcome from the iiag Chair
10:00	"Auditing" Risk Culture
10:45	A preview into the Lloyd's Sanctions and Financial Crime Market Review
11.30	Coffee
11.50	Social Media & Internal Audit
12:35	GPDG Update
12:45	Open Forum
13:00	Lunch

## Speaker profiles

### Colin Green, QBE Europe

Colin is Head of Internal Audit for the European Division of QBE Insurance Group. During the last 26 years Colin has held a number of senior assurance roles in the London Insurance Market covering both Lloyd's and Company operations and has witnessed first hand both the significant changes in the regulatory landscape together with the role and increased profile that internal audit now has in providing independent assurance to boards.

### Neal Writer, Ernst & Young

Neal is a senior manager at EY and a committee member of the IIAG for the last decade. Over the last few years he has had a real interest in risk culture and was involved in authoring the IRM 2012 publication. Since joining EY a year ago he has been a core member of their global Risk Culture proposition team and has led both internal audit and risk engagements in this area. Outside of risk culture his other risk passion at the moment is Pillar 2 of Solvency II.

### Andy Wragg, Lloyd's of London

Andy has been involved in handling compliance and financial crime investigations for over 20 years and his current team's remit includes mitigation of financial crime risks facing the Lloyd's market, as well as the risk management of Lloyd's international trading rights.

### Jacqueline Sinclair, Lloyds of London

Jacqueline is an Australian-qualified lawyer, who has been working in regulatory affairs for the past 8 years. Following time working in retail banking, and for the Australian conduct regulator (ASIC) in Australia she has spent the past four years working in the U.K for Lloyd's of London. She is responsible for Policy in the International Regulatory Risk area, focused on international sanctions

### David Sooby, Ernst & Young

David is a manager in the FS Risk team at EY, focusing on internal audit and internal controls in the insurance sector. David has worked on internal audit projects in outsourcing, solvency II and risk culture, as well as internal audit transformation. David has worked for EY for the past 7 years having graduated from Oxford University with a physics degree

## iiag Programme Friday 6 June 2014

### ■ "Auditing" Risk Culture

Colin Green, Head of Internal Audit, QBE Europe & Neal Writer, Senior Manager, Risk & Actuarial, Ernst & Young

Colin will talk through his experience of undertaking one of the first risk culture reviews in the London Insurance Market, and the result of getting this emerging focus area on the executive and board agenda. Neal will provide some insights around what is happening in this area of growing focus for Internal Audit teams.

### ■ A preview into the Lloyd's Sanctions & Financial Crime Market Review

Andy Wragg, Senior Manager & Jacqueline Sinclair, Manager International Regulatory Affairs, Lloyds of London

Internal auditors working with the Lloyd's Managing Agency community will be aware of a major sanctions and financial crime review of the Lloyd's Market which has been underway for the last year. Ahead of its conclusion in June, Andy and Jacqueline will provide a preview on the major themes identified. They will provide insights into the key risks, impacting not only those operating in the Lloyds market but for any general (re)insurers operating in the world today, and will touch upon areas where internal auditors will be able to add value to the business.

International Regulatory Affairs team is proactively involved in working with the Lloyd's market to ensure compliance with financial crime, sanctions and other international legislation. This requires close liaison with the market, international lawmakers and regulatory bodies to ensure that such legislation impacting the general insurance industry is workable, proportionate and achieves its stated aims.

### ■ Social Media & Internal Audit: How to Ready an Internal Audit team for the challenges of Social Media

David Sooby, Manager, FS Risk, Ernst & Young

Social media risk dramatically increases the internal responsibility, complexity and risk for companies. Internal Audit needs to be having the right conversations with the business so that risks which exist throughout the customer lifecycle are appropriately managed. David will provide insights into the latest practices they are seeing both inside and outside the financial services sector.

### ■ Good Practice Discussion Group Update and Open Forum

## iiag NOTICE BOARD

### Further dates for your diary in 2014

9 and 10 October –Conference 2014

Thank you all for continuing to send us your feedback and topics for future events. Thank you for your support and we look forward to seeing you at our next event.

Any queries about the iiag and its activities contact any member of the iiag Committee at [Contact@iiag.org.uk](mailto:Contact@iiag.org.uk) or visit our website.

*Vicky Kubitscheck, Chair*

*Fraser White, Secretary*

*Adrian Herbert, Committee Member*

*Pauline McNulty, Committee Member*

*Graham Ayre, Financial Oversight*

*Neal Writer, Committee Member*

*Andrew Gascoyne, Committee Member*



**Online at:**  
www.iiag.org.uk

Or :Email: [bookings@iiag.org.uk](mailto:bookings@iiag.org.uk)

Enquiries Email: Contact us@iiag.org.uk

**REGISTRATION MUST BE RECEIVED BY NO LATER THAN FRIDAY 30 May**

## Delegate Rates

**NB: We are waiving membership fees this period. Based on your payment method, fees per person are payable in advance, as follows:**

Please state your method of payment:

£ 75.00 if paid by direct transfer to: Insurance Internal Audit Group account at Barclays Bank  
Sort Code: 20-68-79      Account No: 20842028  
Reference: <Your company name> and re **June. 2014** meeting

£ 80.00 if paid by cheque. Make your cheque payable to the **Insurance Internal Audit Group and bank it at your local branch, ensuring you add your name to the back of the cheque and the event being attended.**

I wish to register \_\_\_ delegate(s) for the IIAG Seminar

<b>Contact Name</b>	
<b>Company</b>	
<b>Address</b>	
<b>Postcode</b>	
<b>Telephone</b>	
<b>Email</b>	

	<b>Name of Delegate(s)</b>	<b>Position</b>	<b>Email</b>	<b>Fee £</b>
<b>1.</b>				
<b>2.</b>				
<b>3.</b>				

**I confirm my remittance for £ \_\_\_\_\_ (If paying by cheque, indicate when you banked the cheque). Payment must be received prior to the event to secure a booking.**

The IIAG is not a commercial organisation and attendees are required to make their payments at the time of booking to confirm catering orders and minimise administration. The venue may refuse entry to delegates arriving without prior booking or notification.

**Signed** \_\_\_\_\_ **Date** \_\_\_\_\_

Refunds of 80% will be made if written notice (including email) is received by the IIAG at least seven days before the event. Beyond that time no refunds are allowed but a nominee is acceptable. We reserve the right to alter published programmes, speakers, fees or venues without prior notice. We also reserve the right to cancel programmes at our discretion in which case our liability shall be restricted to a refund of any fees paid to us.